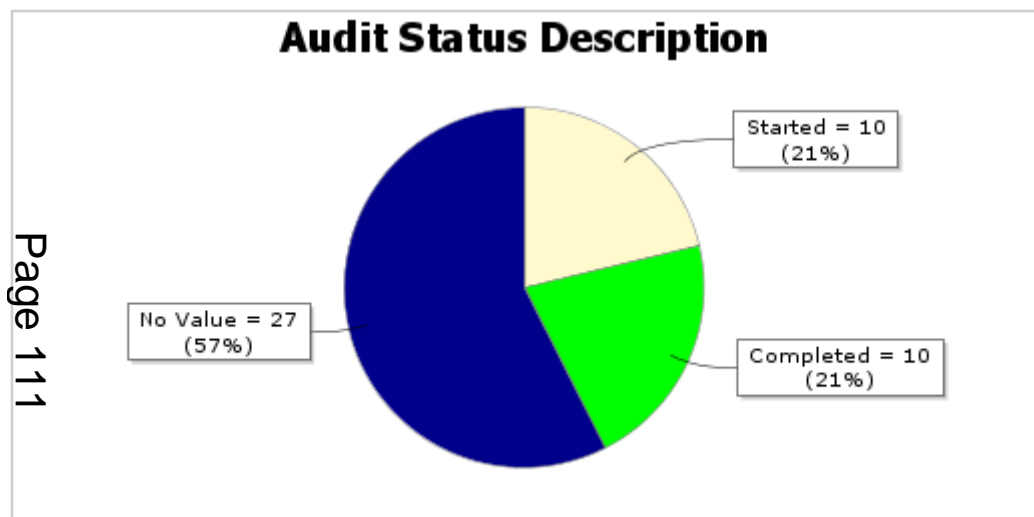# Internal Audit Performance Report 2017/18 Quarter 2 - Revised Plan

Report Type: Audit File Report
Report Author: Angela Struthers
Generated on: 04 October 2017

Tamworth
Borough Council

Audit Status Description

Started = 10 (21%)

No Value = 27 (57%)

Completed = 10 (21%)

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Housing Services	Housing & Health			Risk based review	
Insurance	Finance	✔	Started	System based review	✅
Housing Repairs – Final Accounts	Housing & Health	✔	Completed	Main financial system – interim	
Main Accounting &	Finance			Main financial system –	

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Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Budgetary Control				full	
Creditors & Procurement – Interim	Finance			Main financial system – interim	
Debtors	Finance			Main financial system – full	
Council Tax	Finance	✔	Started	Main financial system – interim	
NNDR	Finance			Main financial system – interim	
Payroll	Transformation & Corporate Performance			Main financial system – interim	
Bank Reconciliation & Cash Collection	Finance			Main financial system – interim	
Housing & Council Tax Benefits	Finance			Main financial system – interim	
Capital Strategy & Programme Management	Finance			Main financial system – full	
Housing Rents	Housing & Health			Main financial system – interim	
Property Contracts QTR 1	Assets & Environment	✔	Completed	Main financial system – interim	🟢
Property Contracts QTR 2	Assets & Environment	✔	Completed	Main financial system – interim	🔺
Property Contracts QTR 3	Assets & Environment			Main financial system – interim	

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Property Contracts QTR 4	Assets & Environment			Main financial system – interim	
Housing Repairs – New Contract	Housing & Health			Additional System Based Review	
Taxi Licences	Assets & Environment	✓	Started	System based review	
Scheme of Delegation	Solicitor & Monitoring Officer	✓	Completed	Risk based review	⚠️
Democratic Services business continuity arrangements	Solicitor & Monitoring Officer	✓	Started	System based review	
VAT	Finance	✓	Completed	Risk based review	✅
Corporate Policy Management	Corporate			System based review	
Performance Management	Transformation & Corporate Performance			System based review	
Time Recording & Absence Management	Transformation & Corporate Performance	✓	Started	Risk based review	
Community Wardens – health & safety	Assets & Environment	✓	Started	Risk based review	✅
Equalities	Transformation & Corporate Performance			System based review	
Joint Service Provision/SLA's	Corporate			System based review	
Safeguarding	Solicitor & Monitoring Officer	✓	Started	System based review	

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Emergency Planning	Corporate	✔	Started	System based review	
Network Controls	Technology & Corporate Programmes			Information Technology	
EFin Application Review	Technology & Corporate Programmes			Information Technology	
Websites	Technology & Corporate Programmes			Information Technology	
Orchard Application Review	Technology & Corporate Programmes			Information Technology	
IT Governance	Technology & Corporate Programmes			Information Technology	
Pension Contributions End of year 2016/17	Transformation & Corporate Performance	✔	Completed	Transactional	✔
DFG Testing	Assets & Environment	✔	Started	Transactional	✔
Municipal Charities	Corporate	✔	Completed	Transactional	
Treasury Management QTR 4 2016/17	Finance	✔	Completed	Main financial system – interim	✔
Treasury Management QTR 1 2017/18	Finance	✔	Completed	Main financial system – interim	✔
Treasury Management QTR 2 2017/18	Finance			Main financial system – interim	
Treasury Management QTR 3 2017/18	Finance			Main financial system – interim	
Pension Contributions Interim Testing	Transformation & Corporate Performance	✔	Completed	Transactional	✔

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
CX Air Application Review	Technology & Corporate Programmes	✅	Started	Information Technology	
Street Scene	Assets & Environment			Risk based review	
Commercial & Industrial Properties	Assets & Environment			Consultancy	
GDPR	Technology & Corporate Programmes			Additional System Based Review	

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